

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**WLJ.099**

In Re Application Of: **Paul RICH et al.**

Serial No.  
**NEW**

Filing Date  
**JANUARY 21, 2004**

Examiner  
**TO BE ASSIGNED**

Group Art Unit  
**TO BE ASSIGNED**

Title: **ELECTROSTATIC CLAMPING OF THIN WAFERS IN PLASMA PROCESSING VACUUM CHAMBER**

Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_  
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Dated: **JANUARY 21, 2004**

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**CC:**

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>WLJ.099</b>		Application Number <b>NEW</b>		
				Applicant(s) <b>Paul RICH et al.</b>		Filing Date <b>JANUARY 21, 2004</b>		Group Art Unit <b>TO BE ASSIGNED</b>
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	A	<b>6,409,890</b>	<b>06/25/2002</b>	<b>GRUNES et al.</b>				
	B	<b>5,334,298</b>	<b>08/02/1994</b>	<b>GEGENWART</b>				
	C	<b>5,423,971</b>	<b>06/13/1995</b>	<b>ARNOLD et al.</b>				
	D	<b>6,358,376</b>	<b>03/19/2002</b>	<b>WANG et al.</b>				
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	E	<b>1-309328</b>	<b>12/13/1989</b>	<b>JAPAN</b>			<input checked="" type="checkbox"/>	
	F	<b>GB 2 310 433 A</b>	<b>08/27/1997</b>	<b>UK</b>			<input checked="" type="checkbox"/>	
	G	<b>WO 96/14653</b>	<b>05/17/1996</b>	<b>PCT</b>			<input checked="" type="checkbox"/>	
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
EXAMINER				DATE CONSIDERED				
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

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